

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.: 2001_1797A

First Named Inventor : Daiji NAKA et al.

Title: SPECIFIC ANTIBODY DIRECTED TO ACTIVE HEPATOCYTE
GROWTH FACTOR ACTIVATOR AND METHOD FOR USING THE
SAME

Express Mail Label No.:

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)

7. ☐ CD-ROM or CD-R in duplicate, large table or computer
program (Appendix)

2. ☐ Small Entity Status is hereby asserted.

8. ☒ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

3. ☒ Specification [Total Pages: 70]

- (preferred arrangement set forth below)
- Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Sequence Listing, a table, or a computer program listing appendix.

a. ☒ Computer Readable Form

b. Specification Sequence Listing on:

i. ☐ CD-ROM or CD-R (2 copies); or

ii. ☐ Paper

c. ☐ Statement verifying identity of above copies

- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☒ Drawing(s) (35 USC 113) [Total Sheets: 5]

5. ☒ Oath or Declaration [Total Pages : 3]

a.1. ☐ Newly executed (original or copy)

a.2. ☒ Unexecuted

b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)

i. ☐ **DELETION OF INVENTOR(S)**

Signed statement attached deleting inventor(s) named
in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet (see 37 CFR 1.76)

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))

10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)

☐ Power of Attorney

11. ☐ English Translation Document (if applicable)

12. ☐ Information Disclosure Statement (IDS)/PTO-1449

☐ Copies of IDS Citations

13. ☐ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically
itemized)

15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).
Applicant must attach form PTO/SB/35 or its equivalent.

17. ☒ Other - Claim of Priority with 1 certified priority document
and Cover Letter with Deposit Receipt

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or
in an Application Data Sheet :

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.

Prior Application Information: Examiner Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied
under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by
reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS



000513

PATENT TRADEMARK OFFICE

By: Warren M. Cheek, Jr.

Warren M. Cheek, Jr.
Registration No. 33,367

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December 4, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
Daiji NAKA et al. : Attn: APPLICATION BRANCH
Serial No. NEW : Docket No. 2001_1797A
Filed December 4, 2001 :

SPECIFIC ANTIBODY DIRECTED TO ACTIVE
HEPATOCTE GROWTH FACTOR ACTIVATOR
AND METHOD FOR USING THE SAME

SUBMISSION OF DEPOSIT RECEIPT

Assistant Commissioner for Patents,
Washington, DC 20231

Sir:

There is submitted herewith a copy of the receipt of the deposit of the microorganism referred to in the specification.

The deposit has been made under the International Budapest Treaty as accession number FERM BP-7779.

Respectfully submitted,

Daiji NAKA et al.

By Warren M. Cheek, Jr.
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December 4, 2001

10000096-12040-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
Daiji NAKA et al. :
Serial No. NEW : Attn: APPLICATION BRANCH
Filed December 4, 2001 : Attorney Docket No. 2001_1797A

SPECIFIC ANTIBODY DIRECTED TO ACTIVE
HEPATOCYTE GROWTH FACTOR ACTIVATOR
AND METHOD FOR USING THE SAME

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEE FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975.

PATENT OFFICE FEE TRANSMITTAL FORM

Assistant Commissioner for Patents,
Washington, DC 20231

Sir:

Attached hereto is a check in the amount of \$2,214.00 to cover Patent Office fees relating to filing the following attached papers:

New application \$740.00
Additional Claims Fee:
Excess of Twenty \$522.00
Independent \$672.00
Multiple Dependent Fee \$280.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.

Respectfully submitted,

Daiji NAKA et al.

By Warren M. Cheek, Jr.
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December 4, 2001

[Check No. 47789]

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